



**KONTIKI STOCKBROKING**  
 Licensed Member of the South Pacific Stock Exchange  
 Level 4, Provident Plaza 1, 33 Ellery Street, Suva  
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[broking@kontiki.com.fj](mailto:broking@kontiki.com.fj)

## SELL ORDER FORM

**CLIENT NAME :** .....

**ADDRESS :** .....

**PHONE :**  **MOBILE :**  **FAX :**  **EMAIL :**

Contact person if company:

Hereby instruct Kontiki Stockbroking Ltd to Sell:

**SECURITY**  **QUANTITY**  **PRICE**

**OTHER TRADING REQUESTS**

I GRANT YOU DISCRETION ON PRICE UP TO MY LIMIT

GOOD UNTIL CANCELLED

ORDER VALID TILL  /  /

**SHARE CERTIFICATE # :** .....

**QUANTITY :** .....

**MODE OF PAYMENT :**

Counter collected  Post Cheque  Bank Cheque

Direct Bank Deposit :

**Bank**  **Branch**  **BSB #**

**Account Number**  **Account Name :**

Any other mode of payment :

**ORDER TAKEN BY**  **DEALER #**

I/we authorise you to act as my authorised agent and to sign the necessary transfer forms to effect the legal transfer of the above securities and to register the transfer on the Fiji register of the company  
I/we have fully read and understood the conditions at the back of this form

**NAME :** .....

**SIGNATURE OF CLIENT :** .....

(OR AUTHORISED REPRESENTATIVE IN CASE OF A COMPANY)

**DATE :** .....

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*For office use only:*

**Date order Receipt :**  **Time:**

**MODE ORDER RECEIPT :**

counter :  Telephone :  Email :  Fax :

**Received Share certificate # :**  **Quantity :**  **Security :**

**Order Taken by :**  **Broker/Dealer # :**

**AMENDMENTS**

Date						
Quantity						
Price						

**ORDER FULFILLED :**

Date						
Quantity						
Contract #						
Balance						

## CONDITIONS

The Order on the face hereof is given subject to the following terms and conditions:

1. No Order shall be accepted by Kontiki Stockbroking Limited (KSB) unless it is in writing and on the official form provided by KSB.
2. Selling Order shall be accompanied by the relevant Securities or some other evidence acceptable to Kontiki Stockbroking Limited confirming that clear title to the Securities will be available to the Buyer on settlement.
3. Buying Orders shall be accompanied by a deposit equal to not less than 100% of the estimated value of the Order unless prior alternative arrangements have been made and agreed to by Kontiki Stockbroking Limited.
4. On receipt of Contract Note the Seller shall promptly sign and return to Kontiki Stockbroking Limited all transfers and other documents required to complete the transaction
5. On receipt of Contract Note the Buyer shall promptly forward to Kontiki Stockbroking Limited the amount on the Contract Note as payable by the Buyer
6. In event of default by either Buyer or Seller in completing the Contract of Sale, Kontiki Stockbroking Limited shall without prejudiced to its other remedies at law for breach of contract or otherwise, be entitled to exercise all or any of the following remedies viz:-
  - a) To rescind the Contract of Sale
  - b) To sue for specific performance of the Contract either as principal or agent;
  - c) To recover damages for breach of Contract;
  - d) To forfeit any deposit paid as liquidated damages;
  - e) To re-sell the securities and recover any deficiency on re-sale from a Buyer in default.
7. Kontiki Stockbroking Limited may conclude transactions at any price within the authority given to it by the Buyer or the Seller which it may consider appropriate in its absolute discretion and for the purpose of fulfilling any Buying Order or Selling Order it may conclude more than one transaction within such authority.
8. No claim whatsoever shall be maintainable against Kontiki Stockbroking Limited in respect of any action undertaken by it in good faith and without negligence.
9. Commission is payable by both the Buyer and Seller at rates current on the date of issue of the Contract Note.
10. No person shall, directly or indirectly, in connection with the purchase or sale of any security make any untrue statement of a material fact or otherwise be liable for signing false statements under Section 63 of the CMDA Act, 1996.

### **KSB Client Declaration**

#### ***Related party Disclosure and potential conflict of interest***

KSB Advises the client that any conflicts of interest generated by any relationships with KSB regarding KSB staff investments or other related entities, other client account, fee structures and all other materials facts have been disclosed to the clients and these conflicts of interest have been understood.

Furthermore, the client understands the term and conditions above and accept. Likewise the client understands and accepts that the counterparty for this transaction may be related party of KSB and that the above information has been expressly made know prior to signing the contract.

Client name: ..... Broker/dealer rep:.....

Signature: ..... Signature:.....

Date:..... Date:.....