

3 Method of Order Instructions

- (i) Please note that only those forms of communication marked here in the boxes will be accepted on this account.
- (ii) Please use Kontiki Order forms provided for instructions.
- (iii) Where possible we prefer all clients to use email attachment for record-keeping purposes (if you need help please ask).
- (iv) In addition we encourage clients to confirm by telephone to avoid any chance of misunderstanding.

| | |
|----------------|--------------------------|
| Email | <input type="checkbox"/> |
| Fax | <input type="checkbox"/> |
| Telephone | <input type="checkbox"/> |
| Hand Delivered | <input type="checkbox"/> |

4 Type of Client

| | |
|---------------|--------------------------|
| Institutional | <input type="checkbox"/> |
| Corporate | <input type="checkbox"/> |
| Private | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

5 Personal Information

Residential Address

Individual Names
(If different from Account Name)

Contact Details (if different from section 1)

Email

Phone

Mobile

Fax

Date of Birth

Nationality

Country of Residence

Marital Status
Single Married Divorced

Number of Dependents (children, grandparents etc)
None 1-2 3 or more

Employment Status
Employed Self-Employed Unemployed

Institutions and Corporations may choose to omit sections 6 – 8. If so they may go directly to section 9.

6 Financial Information (Optional)

Sections 6-8 are designed to help your advisor make suitable recommendations based on your financial situation and your goals. If you choose to skip these sections this please go straight to section 9.

What is Your Current Income Level?

| | |
|--|--|
| <input type="checkbox"/> \$0-\$25,000 | <input type="checkbox"/> \$75,000-\$100,000 |
| <input type="checkbox"/> \$25,000-\$50,000 | <input type="checkbox"/> \$100,000-\$250,000 |
| <input type="checkbox"/> \$50,000-\$75,000 | <input type="checkbox"/> \$250,000 + |

How Much do you Have Available for Investment?

| | |
|--|--|
| <input type="checkbox"/> \$0-\$5,000* | <input type="checkbox"/> \$50,000-\$100,000 |
| <input type="checkbox"/> \$5,000-\$25,000 | <input type="checkbox"/> \$100,000-\$250,000 |
| <input type="checkbox"/> \$25,000-\$50,000 | <input type="checkbox"/> \$250,000 + |

* Kontiki Stockbroking recommends that clients falling under this category consider investing in managed funds/unit trusts.

What is Your Approximate Net Wealth?

| | |
|-------------------------|----------------------|
| Value of Property(s) | <input type="text"/> |
| Total Assets (TA) | <input type="text"/> |
| | Less |
| Mortgage on Property(s) | <input type="text"/> |
| Total Liabilities (TL) | <input type="text"/> |
| | = |
| Net Assets (TA-TL) | <input type="text"/> |

7 Investment Experience (Optional)

Have You Invested Before in Any of the Following?

| | |
|--|--|
| <input type="checkbox"/> Bank Term Deposits/Savings Products | <input type="checkbox"/> Australian Equities |
| <input type="checkbox"/> Unit Trusts | <input type="checkbox"/> New Zealand Equities |
| <input type="checkbox"/> Shares in Fiji | <input type="checkbox"/> International Equities |
| <input type="checkbox"/> Bonds or Promissory Notes in Fiji | <input type="checkbox"/> International Bonds |
| <input type="checkbox"/> Investment Property | <input type="checkbox"/> International Managed Funds |
| <input type="checkbox"/> Unlisted Shares in Fiji | <input type="checkbox"/> Derivatives |

How Long Have You Been Investing?

| | | | | | |
|-------------------|----------------------|-----------|----------------------|-------------------|----------------------|
| Less Than 3 Years | <input type="text"/> | 3-5 Years | <input type="text"/> | More than 5 Years | <input type="text"/> |
|-------------------|----------------------|-----------|----------------------|-------------------|----------------------|

8 Investment Preferences

(if applicable)

What are Your Investment Objectives?

| | |
|-----------------------------------|---|
| <input type="checkbox"/> Security | <input type="checkbox"/> Stable Capital Growth |
| <input type="checkbox"/> Income | <input type="checkbox"/> Balanced Income and Capital Growth |

How Long is Your Investment Timeframe?

| | | | | | |
|------------------|----------------------|------------|----------------------|--------------------|----------------------|
| Less than 1 Year | <input type="text"/> | 3-5 Years | <input type="text"/> | 10-15 Years | <input type="text"/> |
| 1-3 Years | <input type="text"/> | 5-10 Years | <input type="text"/> | More than 15 Years | <input type="text"/> |

What is Your Attitude to Risk?

| | | | | | |
|--------------|----------------------|----------|----------------------|------------|----------------------|
| Conservative | <input type="text"/> | Moderate | <input type="text"/> | Aggressive | <input type="text"/> |
|--------------|----------------------|----------|----------------------|------------|----------------------|

What Special Needs or Requirements do you have?

9 Bank Settlement Instructions

How do you wish to receive payment?

By Cheque

By Direct Deposit to my Trust Account with Kontiki Portfolio Services

By Telegraphic Transfer or Direct Deposit To:

What is the Name of Your Account?

Your Account Number?

What is the Name of Your Bank or Institution?

What is the Bank Branch Code?

Bank/Institution Address?

What is your Preferred Currency?

Fiji Dollars

New Zealand Dollars

Australian Dollars

US Dollars

Other Currency - If So Please Specify:

10 Custodian Settlement Instructions (Skip to the next section if self)

Name of Custodian?

What is Your Custodian Account Number?

Custodian Address

Contact Name

Email/Phone

Other Details?

11 Contract Note Information

Name to Appear on Contract Note (if different from section 1)

Contract Note Address (If different from section 1)

Number of Additional Copies of Contract Note Required

Email/Address For Extra Copies (If different from section 1)

12 Acknowledgement of Limited Personal Information

If you have not fully completed sections 6, 7 & 8 please sign below (CMDA Rule 11 (3))

I/we acknowledge that I/we have elected not to supply you with relevant personal information, or have supplied only limited relevant personal information, concerning myself/ourselves. I/we further acknowledge that you have alerted me/us that you have not been able to undertake a comprehensive analysis of my/our investment objectives, financial situation and particular needs and therefore there are limitations on the appropriateness of any recommendations I/we receive from you. Because of the lack of relevant personal information about me/us, I/we will consider whether any recommendation is appropriate in light of my/our particular investment needs, objectives and financial circumstances.

Applicant or Director's Signature

Date

13 Authority To Operate Account (by assigned agent) CMDA Rule 11 (4)

I/we authorise each of the persons whose name and signature appears below (each, an "Agent") to act in accordance with such authority and to pay the proceeds of any sale of any securities sold by Kontiki Stockbroking Ltd on my/our behalf on the instructions to our Agent:

- by cheque to me/us
- into my/our bank account given in section 8 above
- into my Trust Account with Kontiki Trust
- in accordance with me/us/the Agent's instructions from time to time

| Agent's Name | Agent's Signature |
|--------------|-------------------|
| (1) | (1) |
| (2) | (2) |
| (3) | (3) |

14 Execution CMDA Rule 11 (4)

I/we authorise Kontiki Stockbroking to act as my Agent and to sign the necessary transfer forms to effect the legal transfer of all securities traded on my/our behalf through Kontiki Stockbroking Ltd and to arrange for the registration of the transfer on the Fiji register of the appropriate company.

I/we have read, understood and agree to the terms and conditions of this Client Agreement.

I/we certify that the information provided by me/us in this application is true and complete and I/we agree to advise Kontiki Stockbroking Ltd immediately of any material change in this information. I/we further certify that I/we am/are capable of evaluating and bearing the financial risk inherent in buying and selling securities and that trading in all transactions for which approval is sought is suitable for the purposes of my/our investment objectives, financial situation and particular needs.

I/we represent to, warrant and undertake to Kontiki Stockbroking Ltd on a continuing basis that I/we am/are the ultimate beneficial owner of the Account and that no other person has any interest in the Account.

Company Seal:

Applicant or Director's Signature

Date

Time